

# Analyzing Human Brain Patterns

by using deep approaches

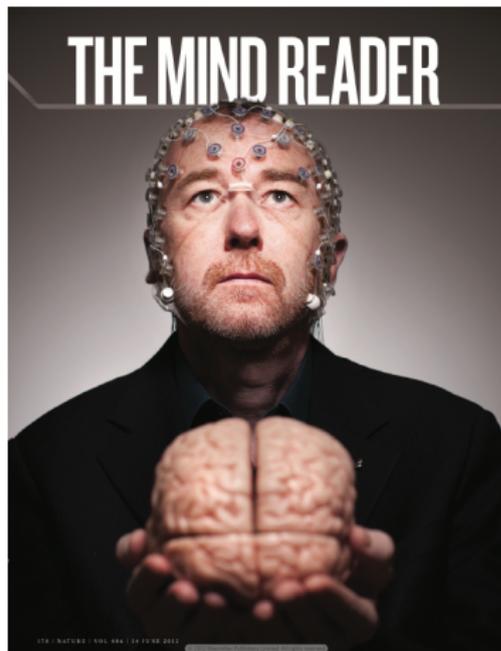
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Machine Learning, Optimization and Control (MLOC) 2018

- 1 Analyzing Brain Patterns
- 2 Hyperalignment
- 3 Deep Hyperalignment
- 4 Deep Hyperalignment: Optimization
- 5 Experiments
- 6 Conclusion

# The Mind Reader (in theory)



Smith, Nature, 2013



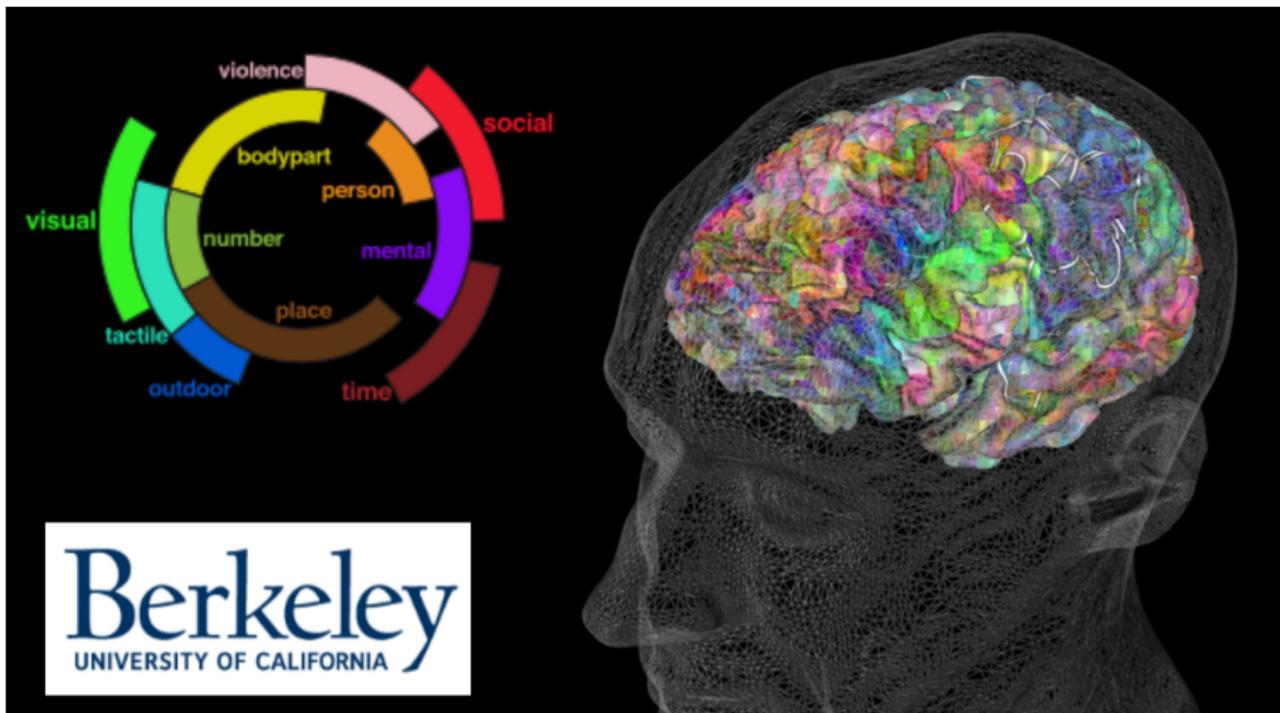


# Recovery Movies from Human Brain



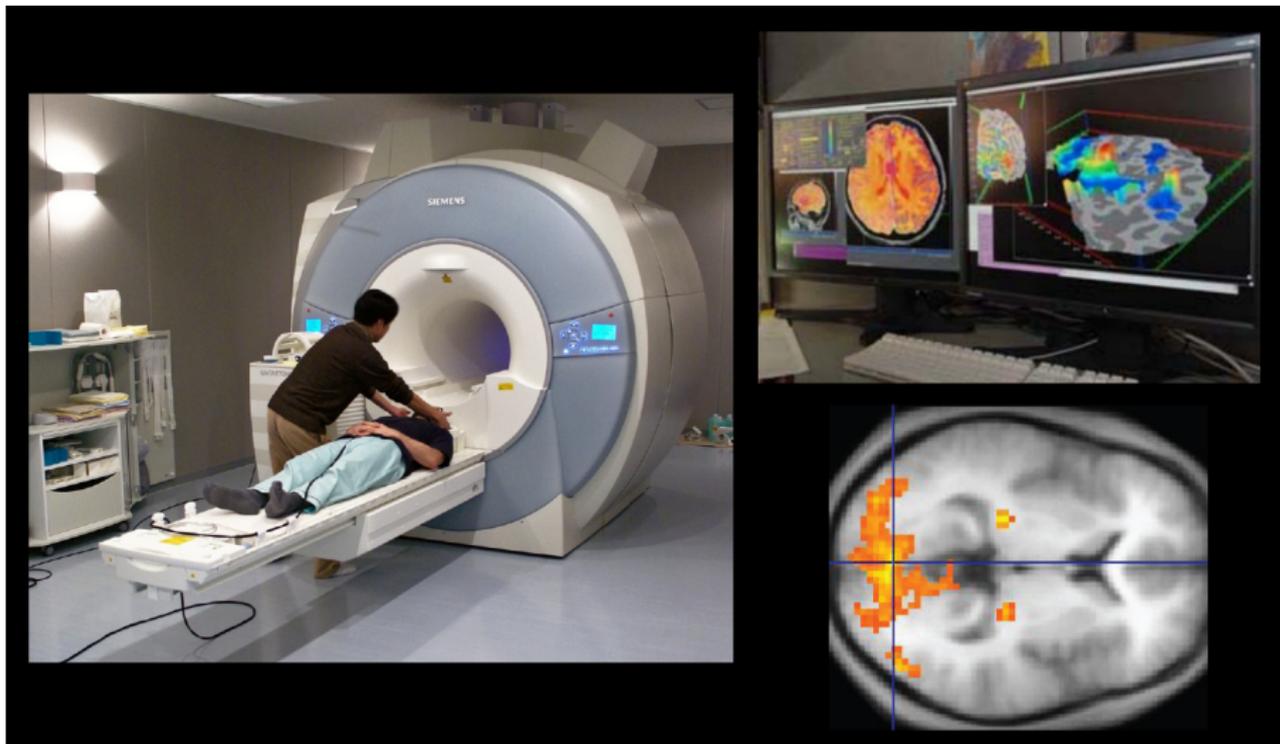
Nishimoto, *Current Biology*, 2011

# Semantic Maps



Huth, Nature, 2016

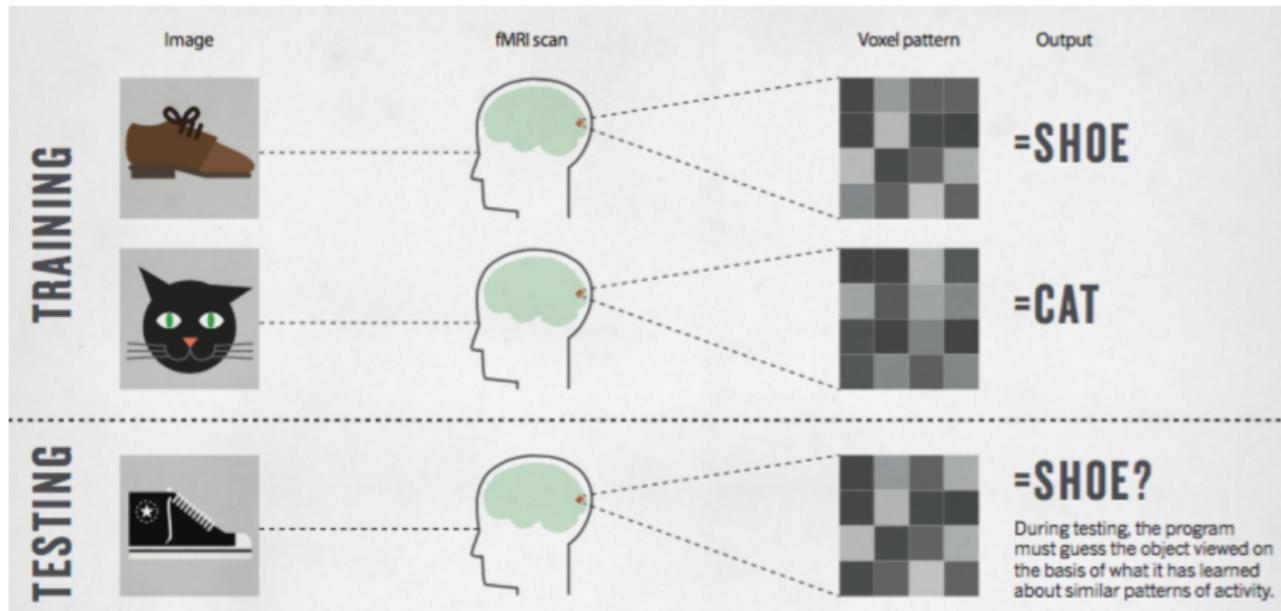
# functional Imaging: functional MRI (fMRI)



# fMRI vs. Other Modalities

- Prior to the discovery that **within-area patterns** of response in fMRI carried information that **afforded decoding of stimulus distinctions**.
- It was generally believed that the **spatial resolution of fMRI** allowed investigators to ask only which task or stimulus activated a region globally.
- Instead of asking what a regions function is, in terms of a single brain state associated with global activity, fMRI investigators can now ask **what information is represented in a region**, in terms of brain states associated with distinct patterns of activity, and how that information is encoded and organized.
- A wide range of **open source** fMRI datasets.

# The Human Brain Decoding: Problem Definition

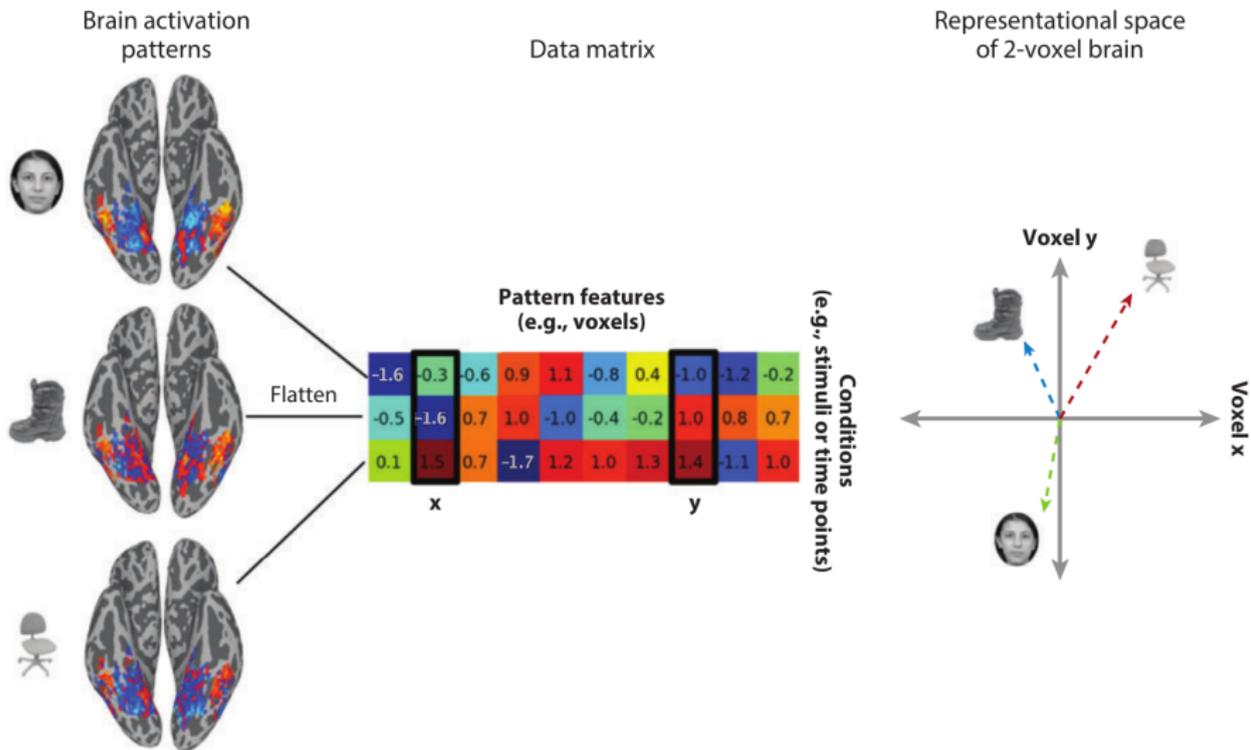


Smith, Nature, 2013

# Outline

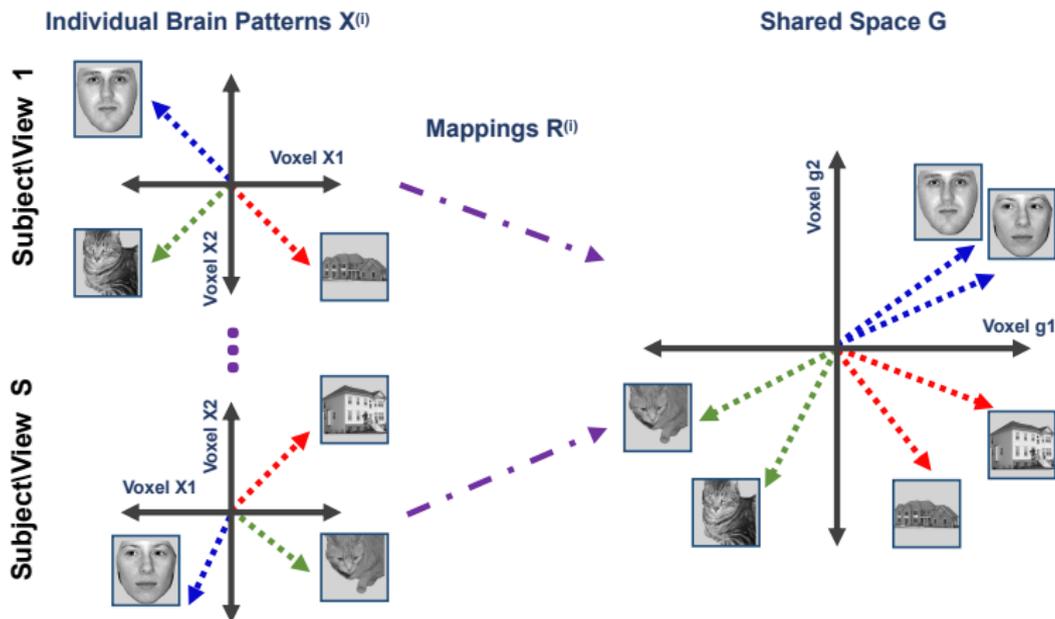
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# Representational Space: Example



Haxby, Annual Review Neuroscience, 2014

# Hyperalignment



- The main assumption in Hyperalignment is that the neural actives in different brains are noisy 'rotations' of a common space **Haxby, Neuron, 2011**.
- It can be formulated as extracting shared space from multi-view (multi-subject) data.

# Classical Hyperalignment

Classical Hyperalignment can be formulated by Generalized Canonical Correlation Analysis (CCA): Haxby, Neuron, 2011

$$\min_{\mathbf{R}^{(i)}, \mathbf{G}} \sum_{i=1}^S \left\| \mathbf{X}^{(i)} \mathbf{R}^{(i)} - \mathbf{G} \right\|_F^2$$

$$\text{subject to } \left( \mathbf{X}^{(\ell)} \mathbf{R}^{(\ell)} \right)^\top \mathbf{X}^{(\ell)} \mathbf{R}^{(\ell)} = \mathbf{I}$$

where the common space can be denoted by:

$$\mathbf{G} \in \mathbb{R}^{T \times V} = \frac{1}{S} \sum_{j=1}^S \mathbf{X}^{(j)} \mathbf{R}^{(j)},$$

- $\mathbf{X}^{(\ell)} \in \mathbb{R}^{T \times V}$  denotes the neural activities, and  $\mathbf{R}^{(\ell)} \in \mathbb{R}^{V \times V}$  is the mappings.

# Regularized Hyperalignment

- RHA's Objective Function can be denoted as follows:

$$\min_{\mathbf{R}^{(i)}, \mathbf{G}} \sum_{i=1}^S \left\| \mathbf{X}^{(i)} \mathbf{R}^{(i)} - \mathbf{G} \right\|_F^2$$

$$\text{subject to } \left( \mathbf{R}^{(\ell)} \right)^\top \left( \left( \mathbf{X}^{(\ell)} \right)^\top \mathbf{X}^{(\ell)} + \epsilon \mathbf{I} \right) \mathbf{R}^{(\ell)} = \mathbf{I}$$

- The common space:  $\mathbf{G} = \frac{1}{S} \sum_{j=1}^S \mathbf{X}^{(j)} \mathbf{R}^{(j)}$
- Here, the regularization term  $\epsilon$  can improve the stability of alignment by providing a better inverse of the covariance matrix for  $\mathbf{X}^{(i)}$ .

Xu, IEEE SSP, 2012

# Kernelized Hyperalignment

- KHA's Objective Function can be denoted as follows:

$$\min_{\mathbf{R}^{(i)}, \mathbf{G}} \sum_{i=1}^S \left\| \Phi(\mathbf{X}^{(i)}) \mathbf{R}^{(i)} - \mathbf{G} \right\|_F^2$$

$$\text{subject to } \left( \Phi(\mathbf{X}^{(\ell)}) \mathbf{R}^{(\ell)} \right)^\top \Phi(\mathbf{X}^{(\ell)}) \mathbf{R}^{(\ell)} = \mathbf{I}$$

- The common space:  $\mathbf{G} = \frac{1}{S} \sum_{j=1}^S \Phi(\mathbf{X}^{(j)}) \mathbf{R}^{(j)}$
- Here,  $\Phi(\cdot)$  is a standard kernel function that can handle nonlinear datasets.
- However, classical kernel functions are limited by a restricted fixed representational space.

Lorbert, NIPS, 2012

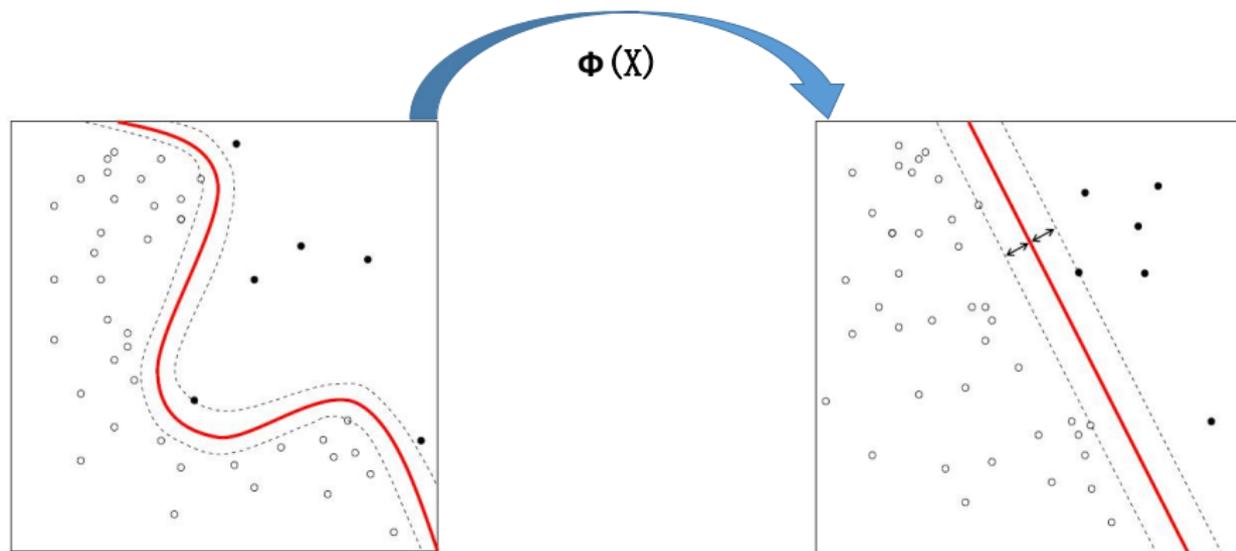
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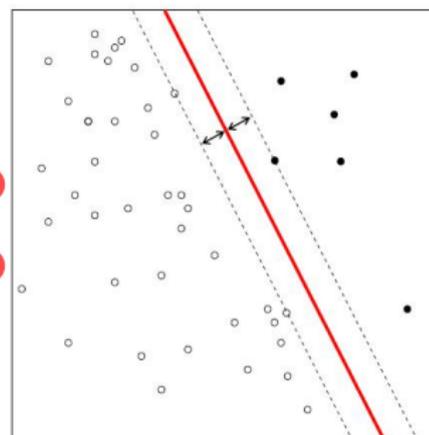
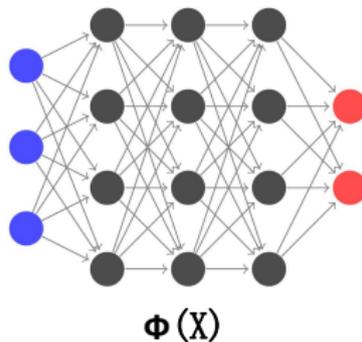
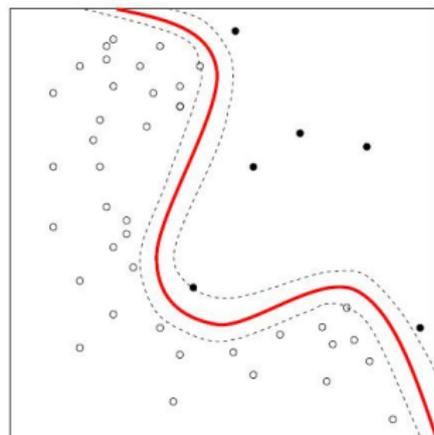
There are some long standing challenges for calculating accurate functional alignments:

- High Dimensionality
- Sparsity
- Nonlinear Features
- Large Number of Subjects

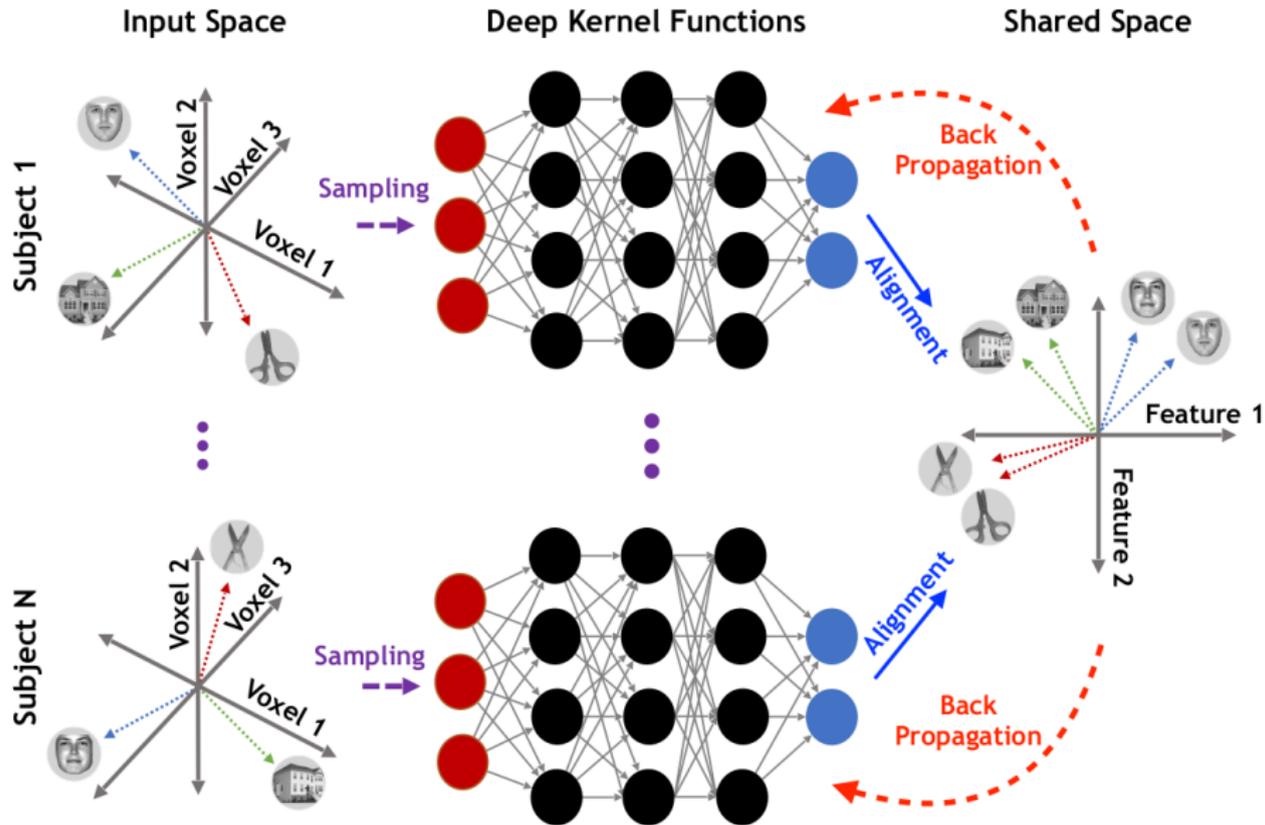
# Kernel Function



# Deep Kernel Function



# Deep Hyperalignment (DHA)



# Deep Hyperalignment: Objective Function

- DHA's Objective Function can be denoted as follows:

$$\min_{\mathbf{G}, \mathbf{R}^{(i)}, \theta^{(i)}} \sum_{i=1}^S \left\| \mathbf{G} - f_i(\mathbf{X}^{(i)}; \theta^{(i)}) \mathbf{R}^{(i)} \right\|_F^2$$

$$\text{subject to } \left( \mathbf{R}^{(\ell)} \right)^\top \left( \left( f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^\top f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) + \epsilon \mathbf{I} \right) \mathbf{R}^{(\ell)} = \mathbf{I}$$

- The common space:  $\mathbf{G} = \frac{1}{S} \sum_{j=1}^S f_j(\mathbf{X}^{(j)}; \theta^{(j)}) \mathbf{R}^{(j)}$
- Here,  $f_\ell$  is the deep neural network such as:

$$f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) = \text{mat}(\mathbf{h}_C^{(\ell)}, T, V_{new}),$$

$$\mathbf{h}_m^{(\ell)} = g(\mathbf{W}_m^{(\ell)} \mathbf{h}_{m-1}^{(\ell)} + \mathbf{b}_m^{(\ell)})$$

where  $\mathbf{h}_1^{(\ell)} = \text{vec}(\mathbf{X}^{(\ell)})$  and  $m = 2:C$ .

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# Deep Hyperalignment: Objective Function

- Firstly, we employ the rank- $m$  SVD as follows:

$$f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \stackrel{SVD}{=} \mathbf{\Omega}^{(\ell)} \mathbf{\Sigma}^{(\ell)} (\mathbf{\Psi}^{(\ell)})^\top, \quad \ell = 1:S$$

- Then, projection matrix can be calculated as follows:

$$\begin{aligned} \mathbf{P}^{(\ell)} &= f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \left( \left( f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^\top f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) + \epsilon \mathbf{I} \right)^{-1} \left( f_\ell(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^\top \\ &= \mathbf{\Omega}^{(\ell)} (\mathbf{\Sigma}^{(\ell)})^\top \left( \mathbf{\Sigma}^{(\ell)} (\mathbf{\Sigma}^{(\ell)})^\top + \epsilon \mathbf{I} \right)^{-1} \mathbf{\Sigma}^{(\ell)} (\mathbf{\Omega}^{(\ell)})^\top = \mathbf{\Omega}^{(\ell)} \mathbf{D}^{(\ell)} \left( \mathbf{\Omega}^{(\ell)} \mathbf{D}^{(\ell)} \right)^\top \end{aligned}$$

- Here, we have a diagonal product  $\mathbf{D}^{(\ell)} (\mathbf{D}^{(\ell)})^\top = (\mathbf{\Sigma}^{(\ell)})^\top \left( \mathbf{\Sigma}^{(\ell)} (\mathbf{\Sigma}^{(\ell)})^\top + \epsilon \mathbf{I} \right)^{-1} \mathbf{\Sigma}^{(\ell)}$ . Thus, calculating the inverse of matrix is easy!

Yousefnezhad, NIPS, 2017

# Deep Hyperalignment: Optimization (Step 1)

## Theorem

By considering fixed mapping functions  $\mathbf{R}^{(i)}$  and fixed network parameters  $\theta^{(i)}$ , DHA's Objective Function can be reformulated as follows:

$$\min_{\mathbf{G}, \mathbf{R}^{(i)}, \theta^{(i)}} \sum_{i=1}^S \left\| \mathbf{G} - f_i(\mathbf{X}^{(i)}; \theta^{(i)}) \mathbf{R}^{(i)} \right\| \equiv \max_{\mathbf{G}} \left( \text{tr}(\mathbf{G}^T \mathbf{A} \mathbf{G}) \right)$$

where the sum of projection matrices can be calculated as follows:

$$\mathbf{A} = \sum_{i=1}^S \mathbf{P}^{(i)} = \tilde{\mathbf{A}} \tilde{\mathbf{A}}^T, \quad \text{where} \quad \tilde{\mathbf{A}} \in \mathbb{R}^{T \times mS} = [\Omega^{(1)} \mathbf{D}^{(1)} \dots \Omega^{(S)} \mathbf{D}^{(S)}]$$

## Theorem

By using **Incremental SVD**, the shared space  $\mathbf{G}$  can be calculated as follows, where  $\Lambda = \{\lambda_1 \dots \lambda_T\}$  is the eigenvalues of  $\mathbf{A}$ :

$$\mathbf{A} \mathbf{G} = \mathbf{G} \Lambda \implies \tilde{\mathbf{A}} = \mathbf{G} \tilde{\Sigma} \tilde{\Psi}^T$$

## Theorem

*By considering fixed share space  $\mathbf{G}$  and fixed network parameters  $\theta^{(i)}$ , DHA's mapping functions can be calculated as follows:*

$$\mathbf{R}^{(\ell)} = \left( \left( f_{\ell}(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^{\top} f_{\ell}(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) + \epsilon \mathbf{I} \right)^{-1} \left( f_{\ell}(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^{\top} \mathbf{G}$$

# Deep Hyperalignment: Optimization (Step 3)

## Theorem

By considering fixed share space  $\mathbf{G}$  and fixed mapping functions  $\mathbf{R}^{(i)}$ , we use back-propagation algorithm for seeking an optimized parameters for the deep network as follows:

$$\frac{\partial \mathbf{Z}}{\partial f_{\ell}(\mathbf{X}^{(\ell)}; \theta^{(\ell)})} = 2\mathbf{R}^{(\ell)}\mathbf{G}^{\top} - 2\mathbf{R}^{(\ell)}(\mathbf{R}^{(\ell)})^{\top} \left( f_{\ell}(\mathbf{X}^{(\ell)}; \theta^{(\ell)}) \right)^{\top}$$

where  $\mathbf{Z}$  is the sum of the eigenvalues of  $\mathbf{A}$ :

$$\mathbf{Z} = \sum_{\ell=1}^T \lambda_{\ell}$$

# Deep Hyperalignment: Algorithm

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**Algorithm 1** Deep Hyperalignment (DHA)

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**Input:** Data  $\mathbf{X}^{(i)}$ ,  $i = 1:S$ , Regularized parameter  $\epsilon$ , Number of layers  $C$ , Number of units  $U^{(m)}$  for  $m = 2:C$ , HA template  $\widehat{\mathbf{G}}$  for testing phase (default  $\emptyset$ ), Learning rate  $\eta$  (default  $10^{-4}$  [13]).

**Output:** DHA mappings  $\mathbf{R}^{(\ell)}$  and parameters  $\theta^{(\ell)}$ , HA template  $\mathbf{G}$  just from training phase

**Method:**

01. Initialize iteration counter:  $m \leftarrow 1$  and  $\theta^{(\ell)} \sim \mathcal{N}(0, 1)$  for  $\ell = 1:S$ .

02. Construct  $f_{\ell}(\mathbf{X}^{(\ell)}; \theta^{(\ell)})$  based on (4) and (5) by using  $\theta^{(\ell)}$ ,  $C$ ,  $U^{(m)}$  for  $\ell = 1:S$ .

03. **IF** ( $\widehat{\mathbf{G}} = \emptyset$ ) **THEN** *% The first step of DHA: fixed  $\theta^{(\ell)}$  and calculating  $\mathbf{G}$  and  $\mathbf{R}^{(\ell)}$  ↓*

04.   Generate  $\widetilde{\mathbf{A}}$  by using (8) and (10).

05.   Calculate  $\mathbf{G}$  by applying Incremental SVD [15] to  $\widetilde{\mathbf{A}} = \mathbf{G}\widetilde{\Sigma}\widetilde{\Psi}^{\top}$ .

06. **ELSE**

07.    $\mathbf{G} = \widehat{\mathbf{G}}$ .

08. **END IF**

09. Calculate mappings  $\mathbf{R}^{(\ell)}$ ,  $\ell = 1:S$  by using (12).

10. Estimate error of iteration  $\gamma_m = \sum_{i=1}^S \sum_{j=i+1}^S \left\| f_i(\mathbf{X}^{(i)}; \theta^{(i)})\mathbf{R}^{(i)} - f_j(\mathbf{X}^{(j)}; \theta^{(j)})\mathbf{R}^{(j)} \right\|_F^2$ .

11. **IF** ( $(m > 3)$  and  $(\gamma_m \geq \gamma_{m-1} \geq \gamma_{m-2})$ ) **THEN** *% This is the finishing condition.*

12.   **Return** calculated  $\mathbf{G}$ ,  $\mathbf{R}^{(\ell)}$ ,  $\theta^{(\ell)}$  ( $\ell = 1:S$ ) related to  $(m-2)$ -th iteration.

13. **END IF** *% The second step of DHA: fixed  $\mathbf{G}$  and  $\mathbf{R}^{(\ell)}$  and updating  $\theta^{(\ell)}$  ↓*

14.  $\nabla\theta^{(\ell)} \leftarrow \text{backprop}\left(\frac{\partial \mathbf{Z}}{\partial f_{\ell}}(\mathbf{x}^{(\ell)}; \theta^{(\ell)}), \theta^{(\ell)}\right)$  by using (13) for  $\ell = 1:S$ .

15. Update  $\theta^{(\ell)} \leftarrow \theta^{(\ell)} - \eta \nabla\theta^{(\ell)}$  for  $\ell = 1:S$  and then  $m \leftarrow m + 1$

16. **SAVE** all DHA parameters related to this iteration and **GO TO** Line 02.

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# Datasets

Title	ID	S	K	T	V	X	Y	Z	Scanner	TR	TE
Mixed-gambles task	DS005	48	2	240	450	53	63	52	S 3T	2	30
Visual Object Recognition	DS105	71	8	121	1963	79	95	79	G 3T	2.5	30
Word and Object Processing	DS107	98	4	164	932	53	63	52	S 3T	2	28
Auditory and Visual Oddball	DS116	102	2	170	2532	53	63	40	P 3T	2	25
Multi-subject, multi-modal	DS117	171	2	210	524	64	61	33	S 3T	2	30
Forrest Gump	DS113	20	10	451	2400	160	160	36	S 7T	2.3	22
Raiders of the Lost Ark	<i>N/A</i>	10	7	924	980	78	78	54	S 3T	3	30

S is the number of subject; K denotes the number of stimulus categories; T is the number of scans in unites of TRs (Time of Repetition); V denotes the number of voxels in ROI; X, Y, Z are the size of 3D images; Scanners include S=Siemens, G = General Electric, and P = Philips in 3 Tesla or 7 Tesla; TR is Time of Repetition in millisecond; TE denotes Echo Time in second; Please see *openfmri.org* for more information.

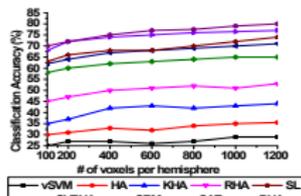
# Simple Task Analysis: Accuracy of HA methods

↓Algorithms, Datasets→	DS005	DS105	DS107	DS116	DS117
$\nu$ -SVM	71.65±0.97	22.89±1.02	38.84±0.82	67.26±1.99	73.32±1.67
Hyperalignment (HA)	81.27±0.59	30.03±0.87	43.01±0.56	74.23±1.40	77.93±0.29
Regularized HA	83.06±0.36	32.62±0.52	46.82±0.37	78.71±0.76	84.22±0.44
Kernel HA	85.29±0.49	37.14±0.91	52.69±0.69	78.03±0.89	83.32±0.41
SVD-HA	90.82±1.23	40.21±0.83	59.54±0.99	81.56±0.54	95.62±0.83
Shared Response Model	91.26±0.34	48.77±0.94	64.11±0.37	83.31±0.73	95.01±0.64
SearchLight	90.21±0.61	49.86±0.4	64.07±0.98	82.32±0.28	94.96±0.24
Convolutional Autoencoder	94.25±0.76	54.52±0.80	72.16±0.43	<b>91.49±0.67</b>	95.92±0.67
Deep HA	<b>97.92±0.82</b>	<b>60.39±0.68</b>	<b>73.05±0.63</b>	90.28±0.71	<b>97.99±0.94</b>

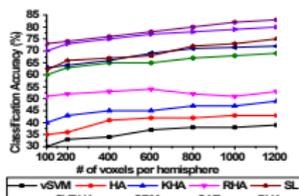
# Simple Task Analysis: AUC of HA methods

↓Algorithms, Datasets→	DS005	DS105	DS107	DS116	DS117
$\nu$ -SVM [17]	68.37±1.01	21.76±0.91	36.84±1.45	62.49±1.34	70.17±0.59
Hyperalignment (HA)	70.32±0.92	28.91±1.03	40.21±0.33	70.67±0.97	76.14±0.49
Regularized HA	82.22±0.42	30.35±0.39	43.63±0.61	76.34±0.45	81.54±0.92
Kernel HA	80.91±0.21	36.23±0.57	50.41±0.92	75.28±0.94	80.92±0.28
SVD-HA	88.54±0.71	37.61±0.62	57.54±0.31	78.66±0.82	92.14±0.42
Shared Response Model	90.23±0.74	44.48±0.75	62.41±0.72	79.20±0.98	93.65±0.93
SearchLight	89.79±0.25	47.32±0.92	61.84±0.32	80.63±0.81	93.26±0.72
Convolutional Autoencoder	91.24±0.61	52.16±0.63	<b>72.33±0.79</b>	87.53±0.72	91.49±0.33
Deep HA	<b>96.91±0.82</b>	<b>59.57±0.32</b>	70.23±0.92	<b>89.93±0.24</b>	<b>96.13±0.32</b>

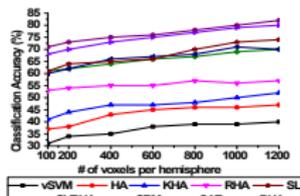
# Complex Task Analysis



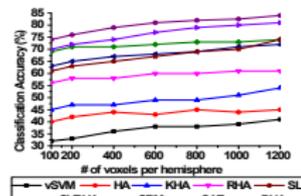
(a) Forrest Gump  
(TRs = 100)



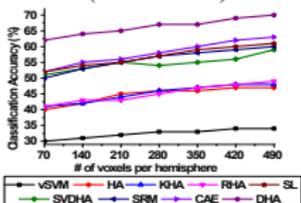
(b) Forrest Gump  
(TRs = 400)



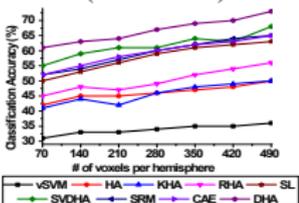
(c) Forrest Gump  
(TRs = 800)



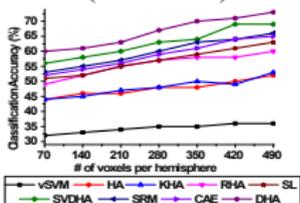
(d) Forrest Gump  
(TRs = 2000)



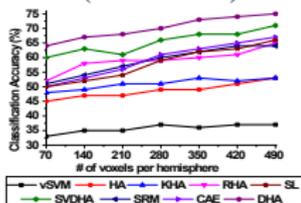
(e) Raiders  
(TRs = 100)



(f) Raiders  
(TRs = 400)

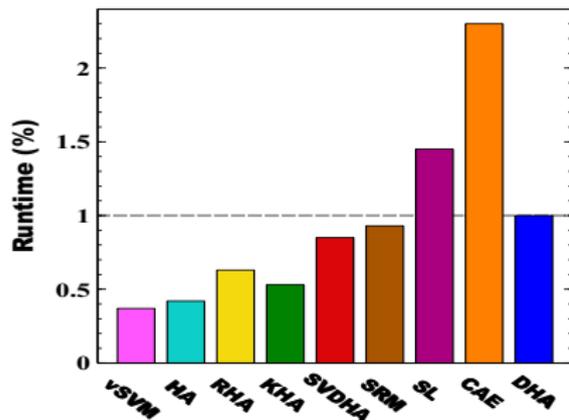


(g) Raiders  
(TRs = 800)

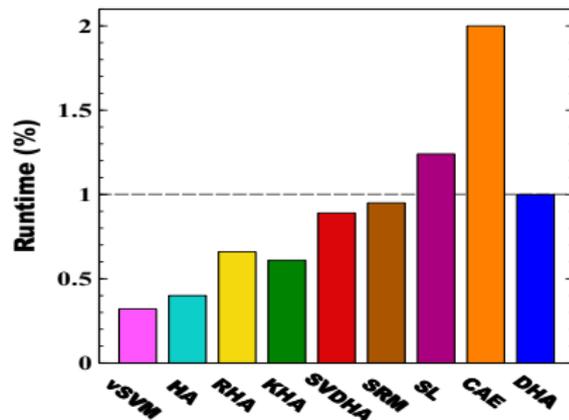


(h) Raiders  
(TRs = 2000)

# Runtime Analysis

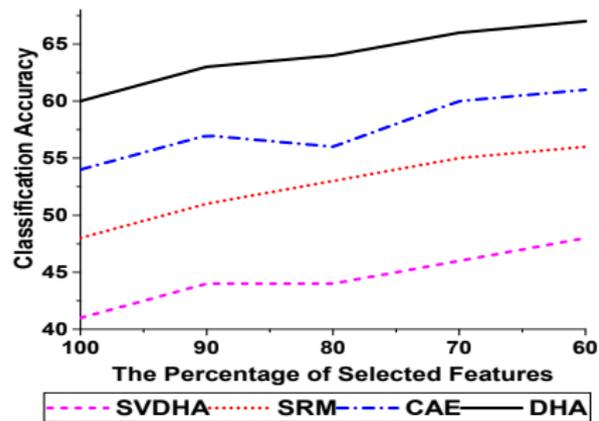


(A) DS105

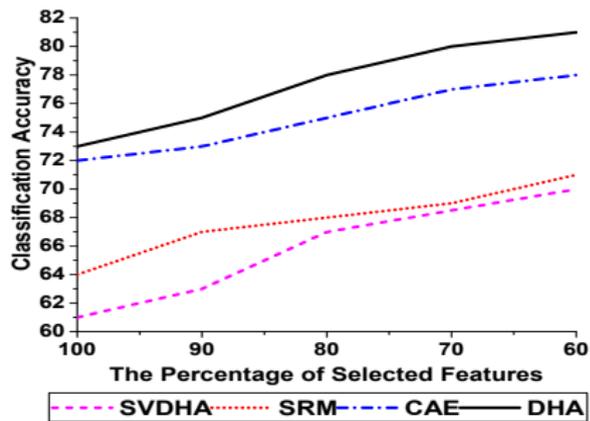


(B) DS107

# Alignment by selecting features

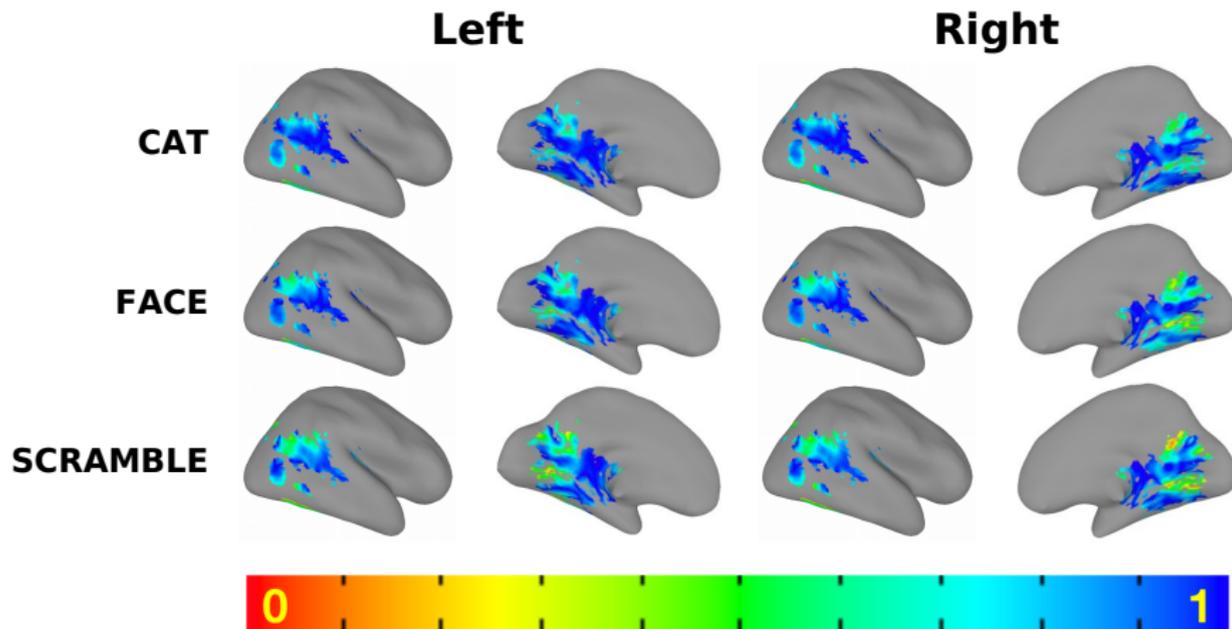


(A) DS105



(B) DS107

# Visualizing Neural Activities on DS105



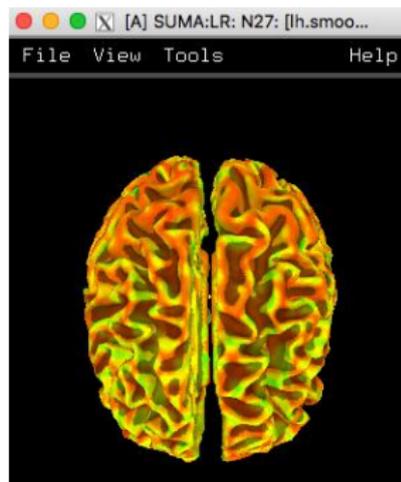
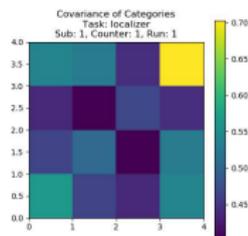
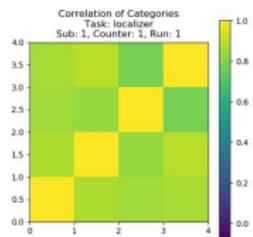
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# Conclusion

- Our knowledge from human brain is so **limited**.
- In order to understand the human brain, we need to develop new methods in Neuroscience, Psychology, **Mathematics, and Computer Science**.
- Not only can Artificial Intelligence use as a powerful tool for understanding the human brain but also this understanding can be employed reversely to develop AI tools, e.g. **Deep Learning**.

Open Source + Free + Python + SK-Learn + MPI + Tensorflow



<https://easyfmri.gitlab.io/>  
<https://easyfmri.github.io/>  
<https://easyfmri.sourceforge.io/>

Matlab + 40 dataset + 200 cognitive tasks + 1000 subjects

The screenshot shows the SourceForge repository page for 'easyfmridata'. The repository is brought to you by 'moussefnezhad'. The 'Files' tab is active, showing a list of folders under the 'Parent folder'. The folders are listed with their names, modification dates, and download icons.

Name	Modified	Size	Downloads / Week
Parent folder			
DS232	2018-04-27		0
DS107	2018-04-27		0
DS105	2018-04-27		0
DS231	2018-02-02		0
DS229	2018-02-02		0
DS205	2018-02-02		0
DS203	2018-02-02		0
DS170	2018-02-02		0

<https://easydata.gitlab.io/>  
<https://easyfmridata.github.io/>  
<https://easyfmridata.sourceforge.io/>

- **Muhammad Yousefnezhad** and Daoqiang Zhang. 'Deep Hyperalignment', NIPS, 2017.
- **Muhammad Yousefnezhad** and Daoqiang Zhang. 'Local Discriminant Hyperalignment for Multi-Subject fMRI Data Alignment', AAAI, 2017.
- **Muhammad Yousefnezhad** and Daoqiang Zhang. 'Multi-Region Neural Representation: A novel model for decoding visual stimuli in human brains', SIAM SDM, 2017.
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# Thank You

## Q & A

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